



Adaptive Optical Transport

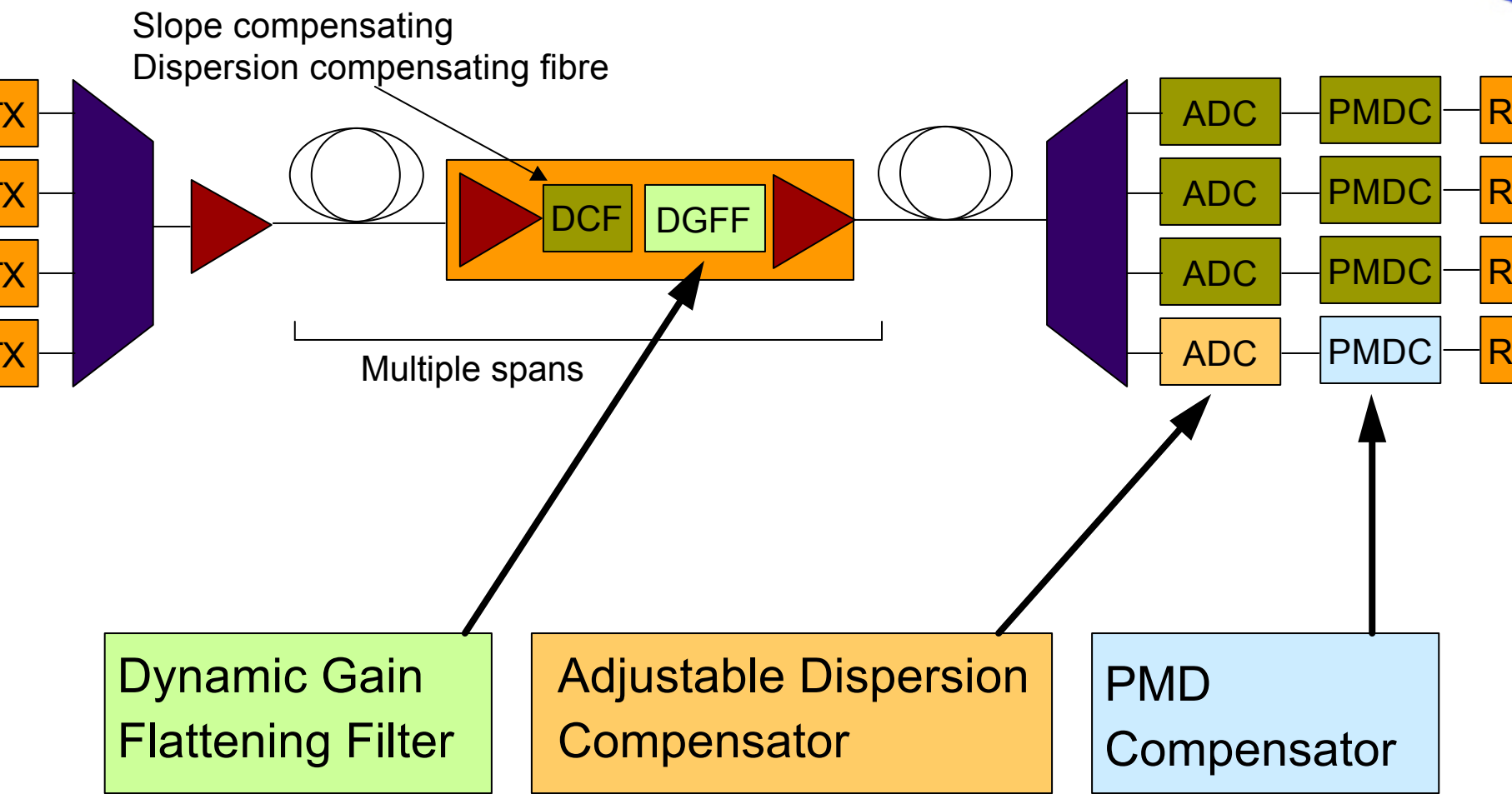
**London Communications
Symposium 2001**

Julian Fells

Outline

- **Introduction to adaptive systems**
- **Adaptive Gain Flattening**
- **Adaptive Dispersion Compensation**
 - Adjustable dispersion compensation technologies
 - Control schemes for adaptive compensation
- **Adaptive PMD Compensation**
- **Conclusions**
 - **Acknowledgements to Simon Parry (DGFFs) and Dan Watley (PMD Compensation)**

Adaptive optical transport



Why Dynamic Gain Flattening ?

Fixed flattening filters cannot remove:

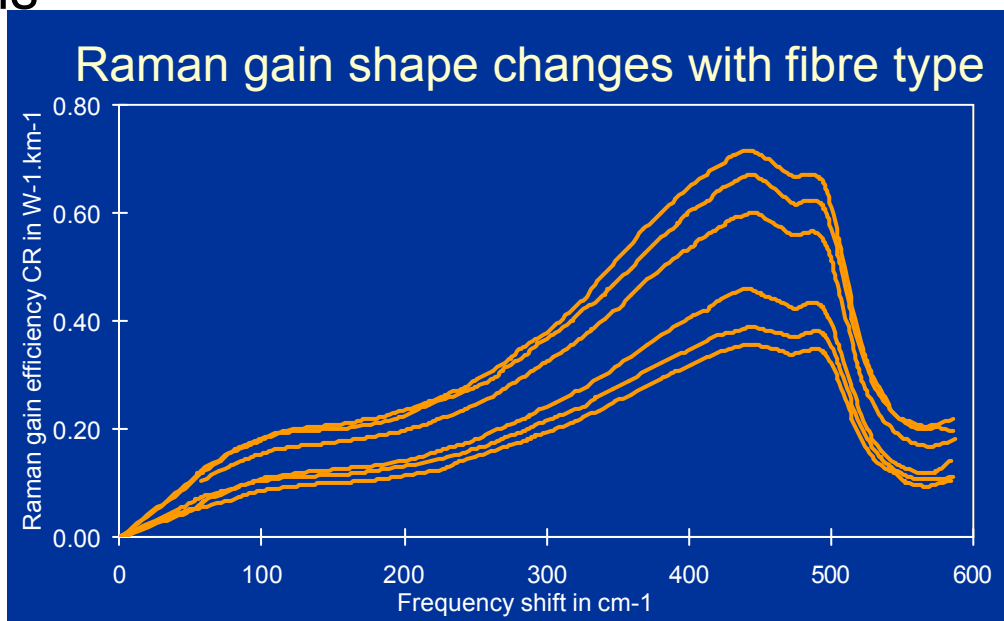
1. Static errors.

- Component tolerances
- Manufacturing tolerances
- Erbium fibre doping variations
- Raman transmission fibre

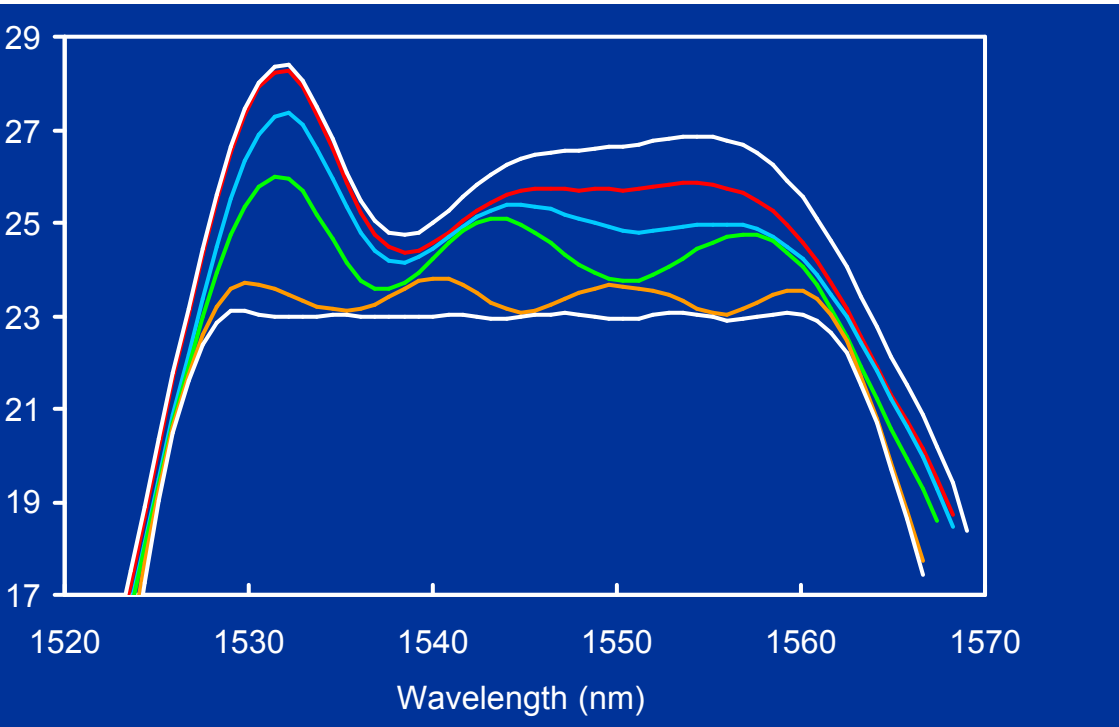
Dynamic range of i/p powers lower
At higher bit rates

2. Dynamic errors

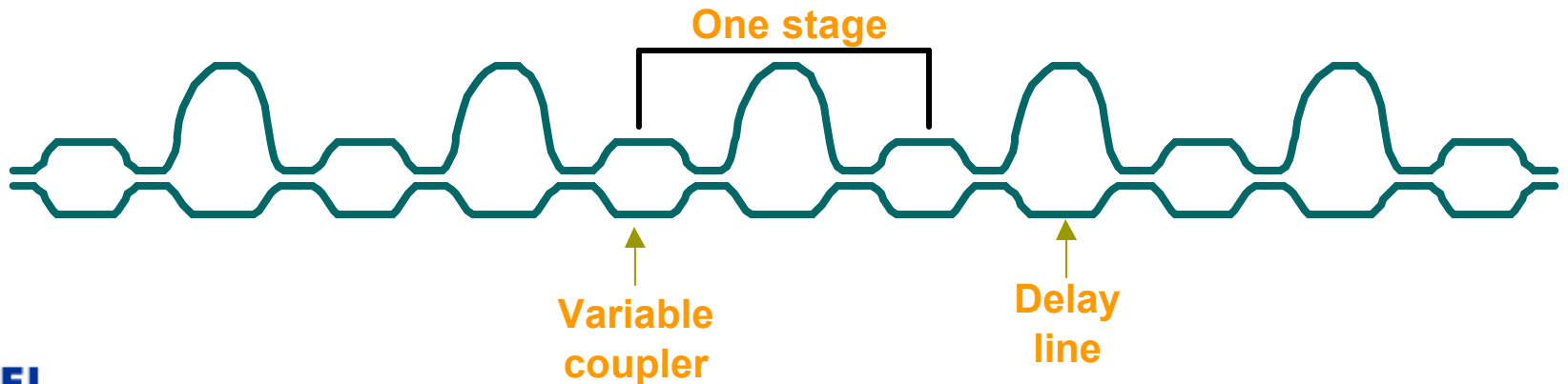
- Gain tilt
- Non-linear effects
- Thermal variations
- Dynamic add/drop



GFF Lattice Filter



—5 stage sinusoidal filter



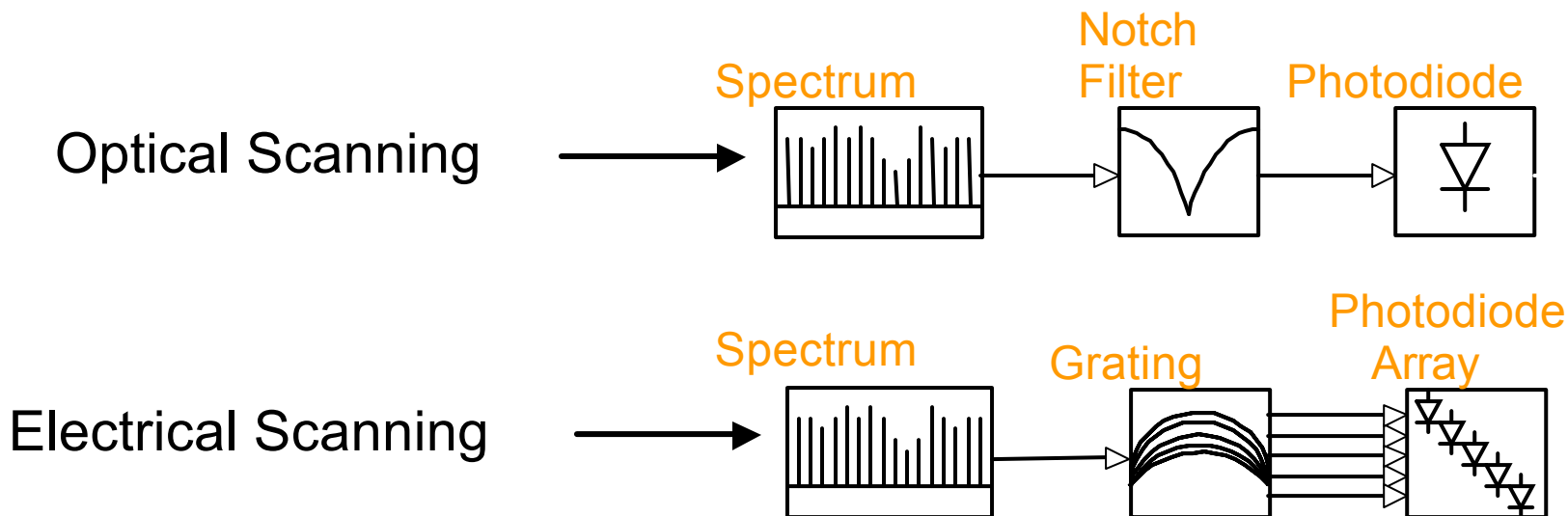
GFF adaptive control

Spectral Feedback

- Optical Spectrum Analysers – Optical SNR
- Optical Channel Monitors – Optical power

Control Algorithm

- Response time limited by spectral feedback
- Accuracy limited by spectral feedback



Why adjustable dispersion?

Increased margin

- Operate in 'sweet spot' of dispersion curve

Track changes in dispersion

- Temperature shift of λ_0 , fibre re-patching,

Optical protection switching

- Transmission path, thus dispersion changes

All-optical routing

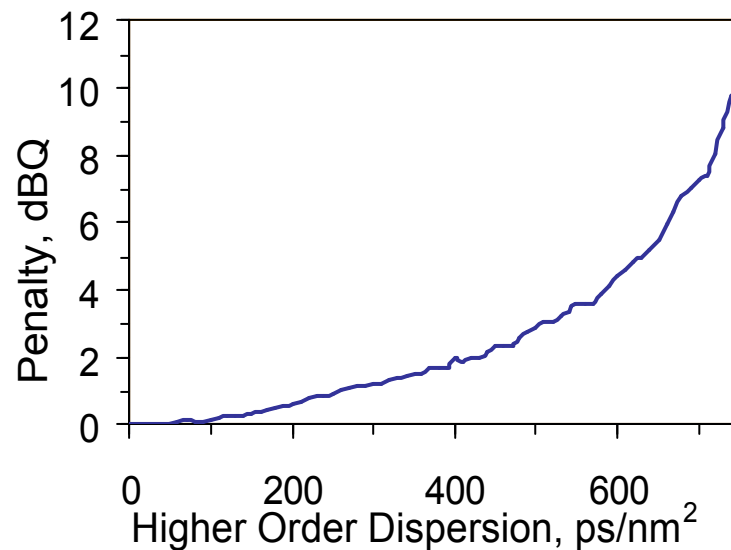
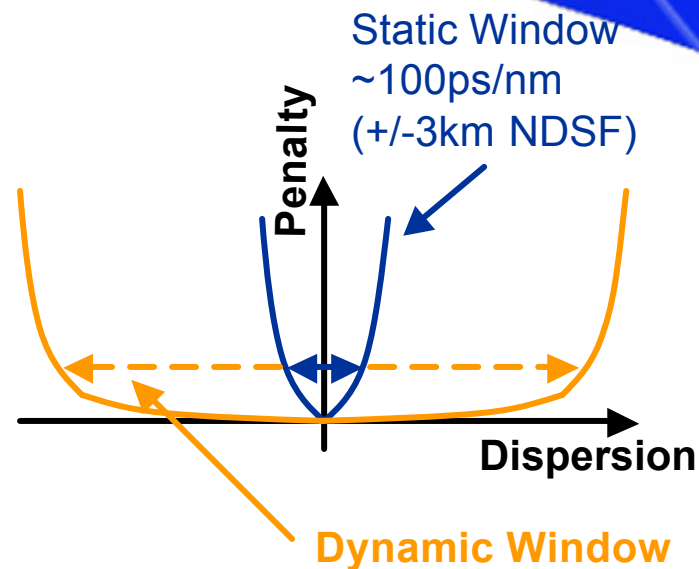
- Different channels have different dispersion

Balance nonlinearity

- Tailor dispersion to match channel power

Static provisioning of system

- Residual slope mismatch between DCF and transmission fibre



MEMS etalon

Asymmetric Fabry-Perot

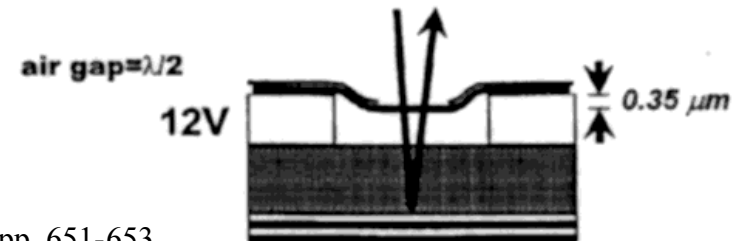
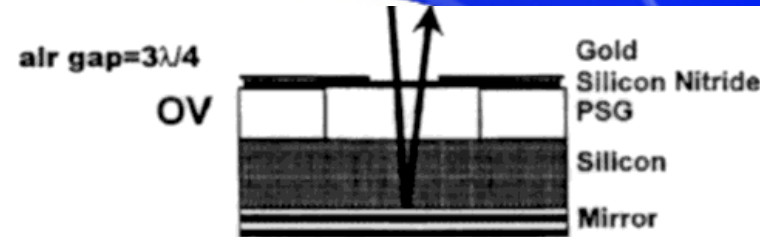
$\frac{1}{4}$ wave stack on bottom

MEMS variable reflector on top

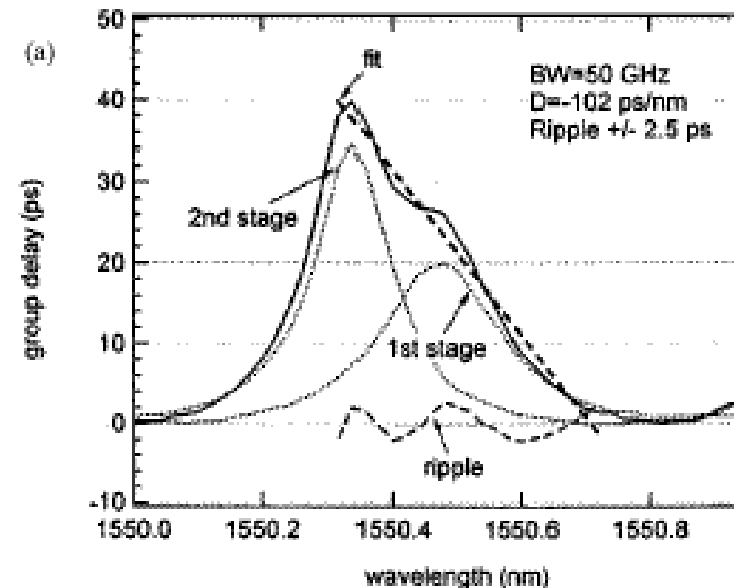
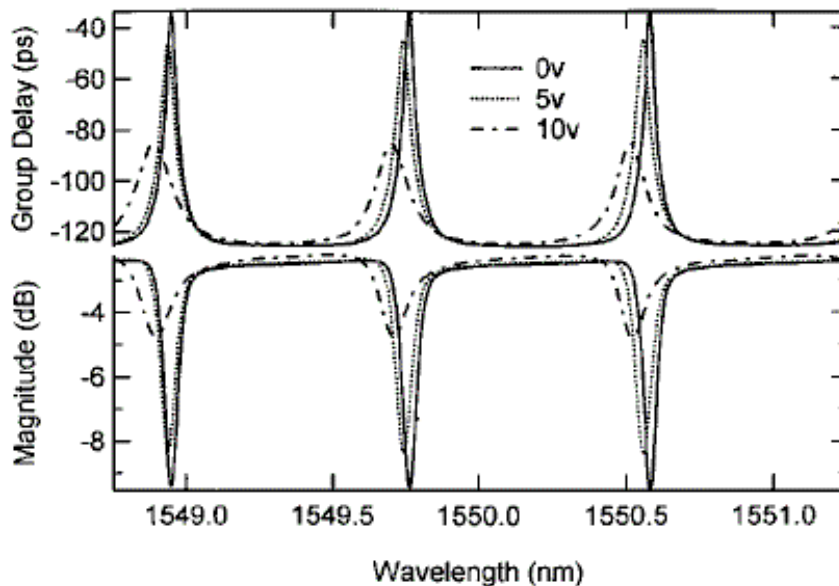
- Actually micro-cavity F-P etalon

Vary top reflectivity to change finesse

- This alters dispersion



Madsen, C. K., IEEE Photonic Technology Letters, Vol. 12, No. 6, June 2000, pp. 651-653



ing resonator

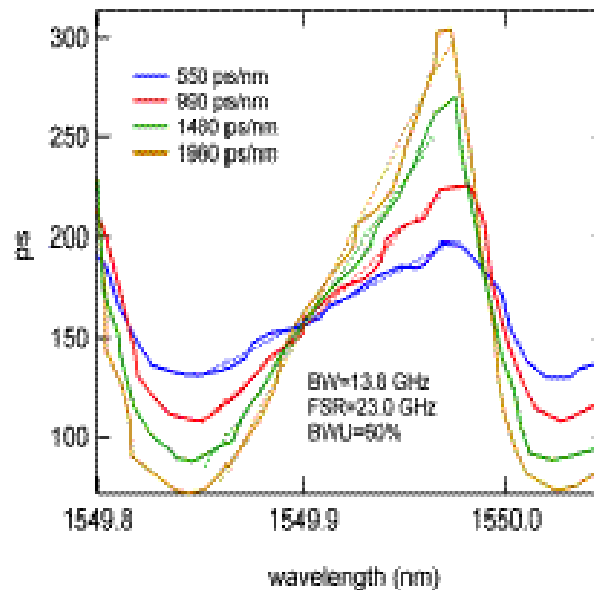
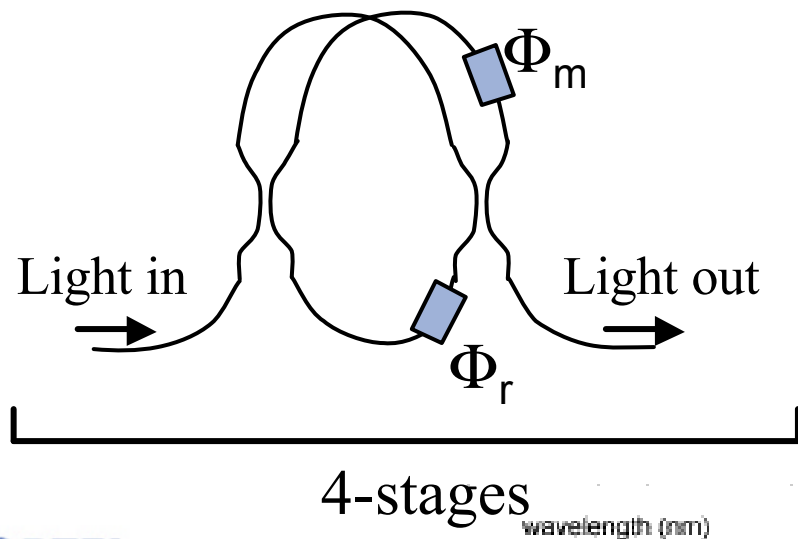
4 stage ring-resonator in silica waveguide

- 3940 ps/nm tuning range, 13.8 GHz bandwidth, periodic response, compact
- 4.4 dB fiber-fiber loss (0.8 dB per facet, 0.7 dB per ring)
- 0.5 dB penalty at 10Gbit/s, 4 channels measured

Disadvantages

- Polarisation dependence
- 8 control elements
- 6 dB loss variation over passband
- FSR limited (index contrast/bend radius)

Madsen, OFC'01 PD9 (Lucent)



ascaded Mach-Zehnder

Integrated structure incorporating a series of tunable couplers, asymmetric and symmetric MZ interferometers

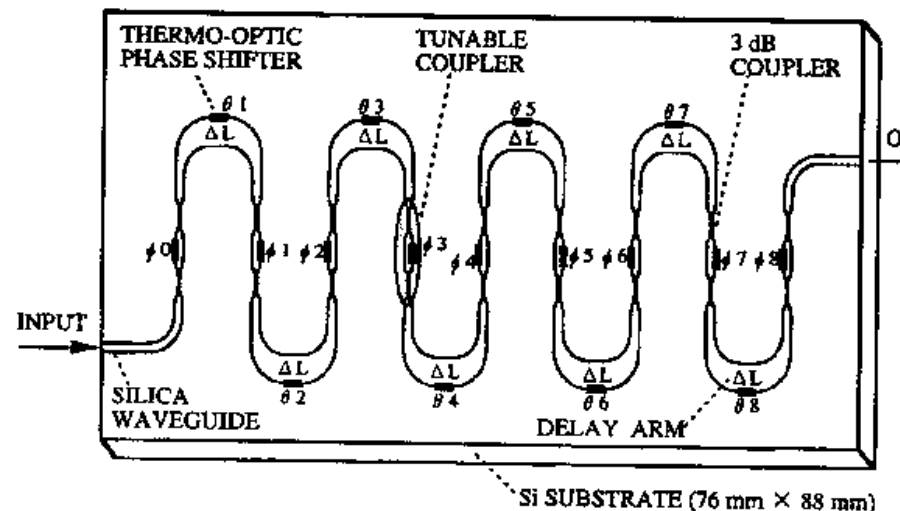
Dispersion is induced by the different frequency components travelling through the variable length paths

Net outcome is a variable dispersion equaliser with a periodic structure in the wavelength domain.

Tuning range 1500 ps/nm

Compromises between pass bandwidth and tuning range

Quite a complex device to control



Tagiguchi, K., IEEE J. Selected Topics in Quantum Electronics, Vol. 2, No. 2, June 1996, pp. 270-276

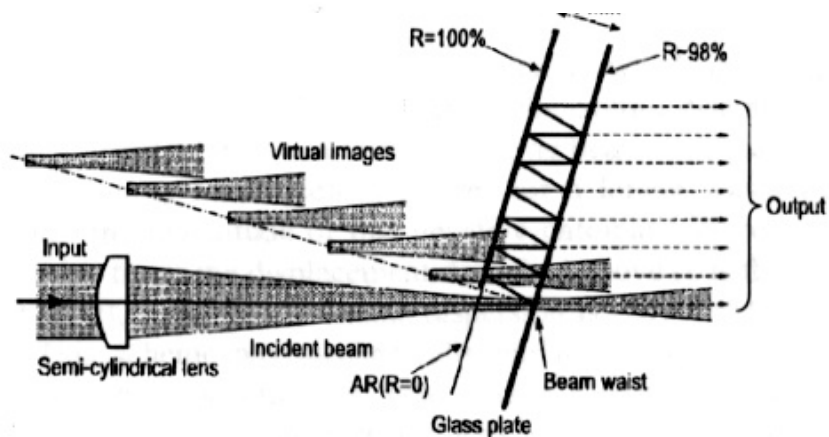
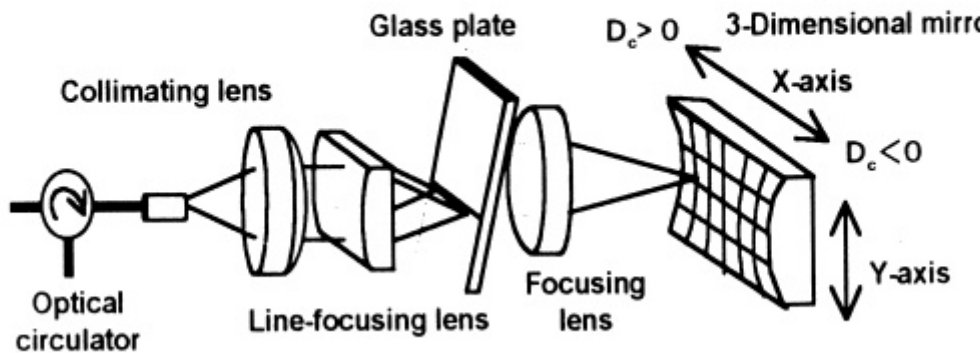
Virtually imaged phased array (VIPA)

Wavelength determines point at which the i/p light passes through glass plate

Distance travelled by a spectral component determined by no of reflections within plate

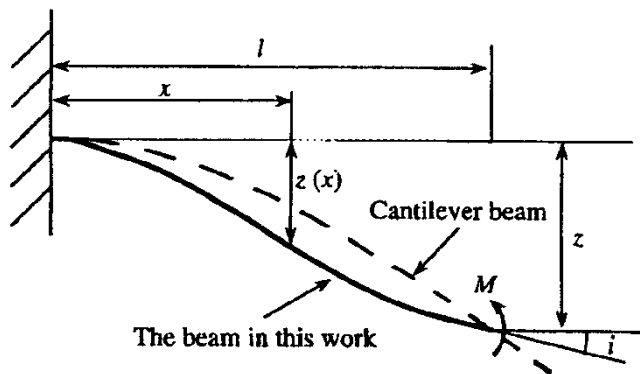
Induced chromatic dispersion varied by changing the angle of the plate

Periodic response



Shiraski, M., IEEE Photonics Technology Letters, Vol. 9, No. 12, December 1997, pp. 1598 - 1600

nonlinearly strained FBG



(a)

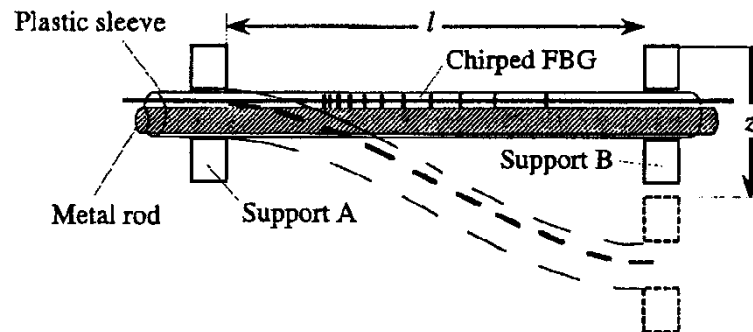
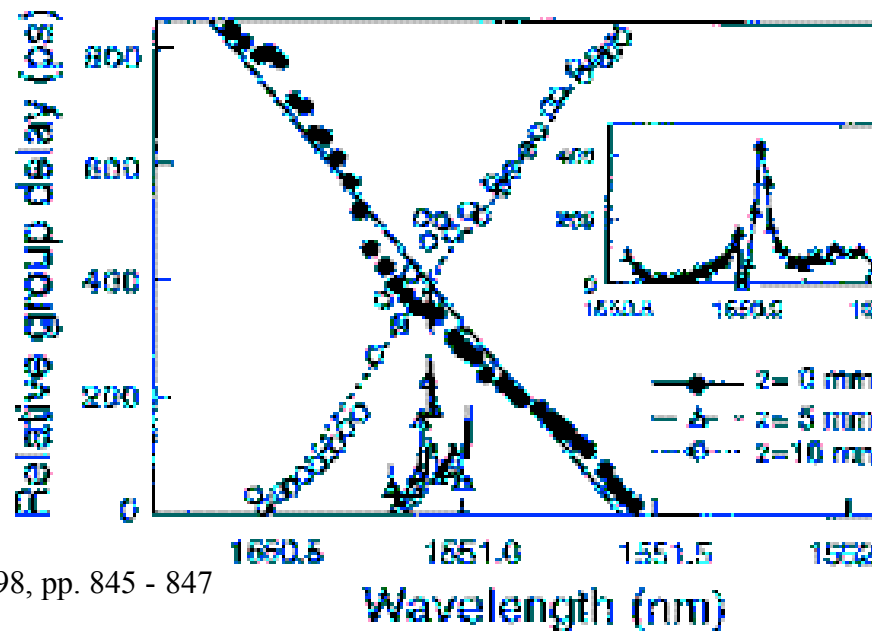


Fig. grad

nonlinear strain changes dispersion
 double bend avoids wavelength shift
 difficult to keep fibre bonded to
 cantilever



Imai, T., IEEE Photonic Technology Letters, Vol. 10, No. 6, June 1998, pp. 845 - 847

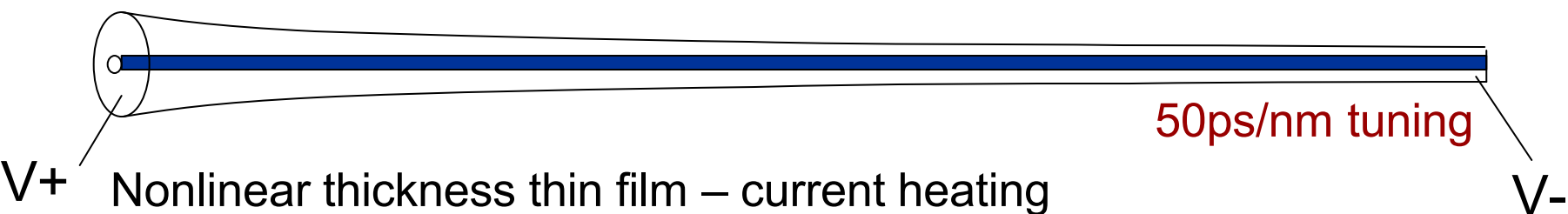
temperature gradient tuned FBG

Matsumoto, OFC'01 TuS4 (Mitsubishi)



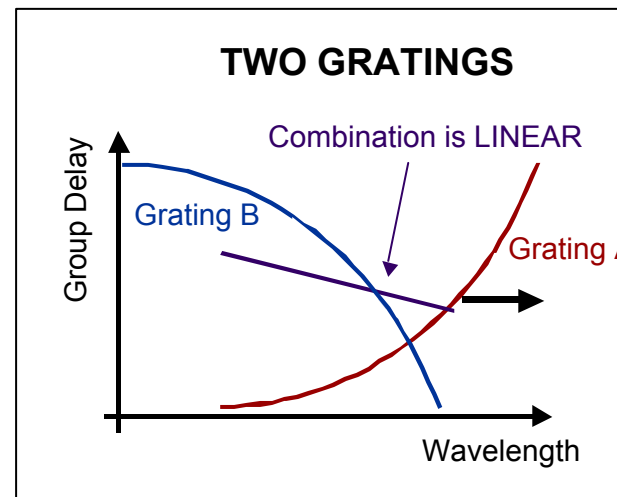
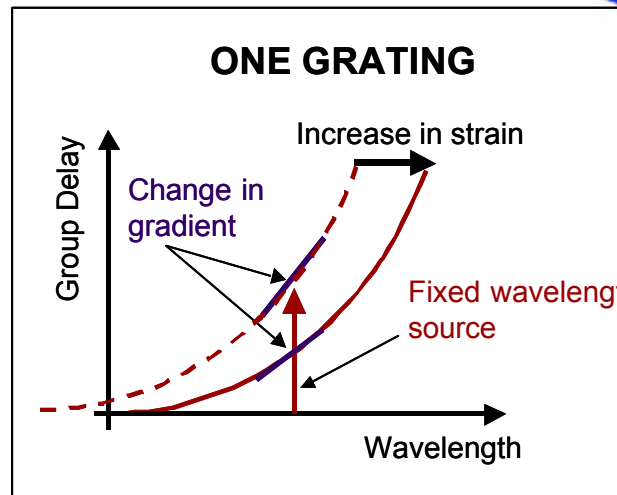
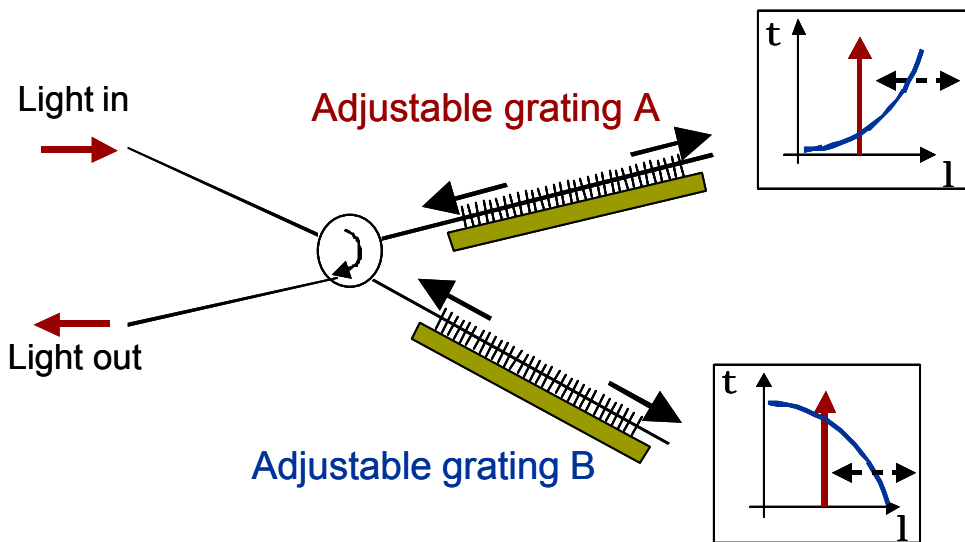
32 individual heaters – arbitrary chirp profile, inc. disp. slope
6 element Peltier across whole device to avoid wavelength shift
108 ps/nm tuning range, ~1 nm bandwidth, 3 W power
4 dB loss variation over passband, 50 ps delay ripple

Eggleton, (PTL-12, p. 1022, 2000)



Nonlinear thickness thin film – current heating
Proposed thermally isolated DC heater to avoid wavelength shift
Rogers, Opt. Lett, 24(19), p. 1328, 1999. **Not yet demonstrated**

Wavelength Tunable Fibre Grating Compensator



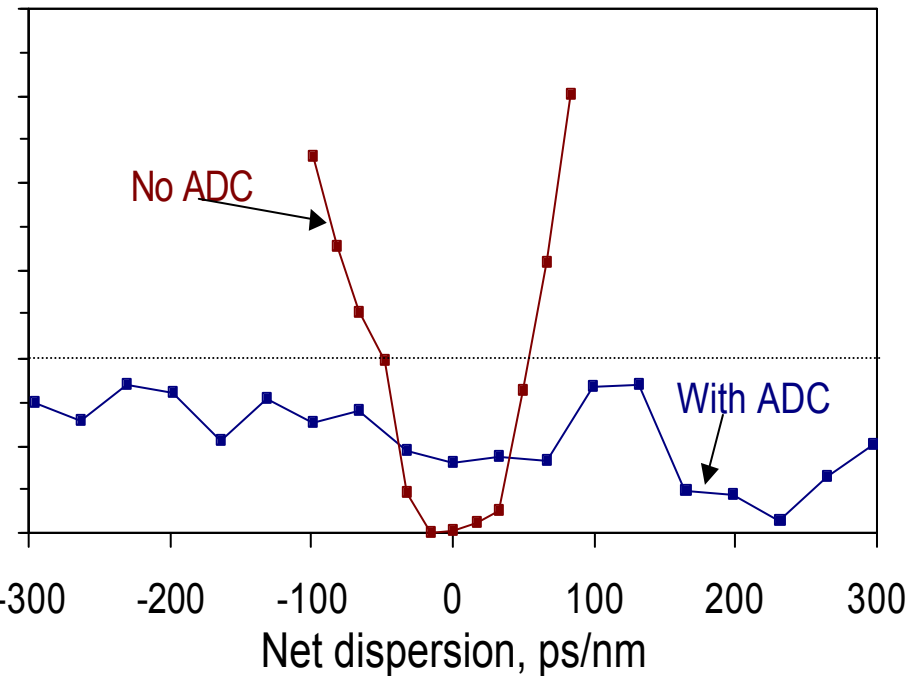
Operated by increasing the strain in grating A whilst reducing the strain in grating B, and vice versa

Simple linear strain tuning mechanism

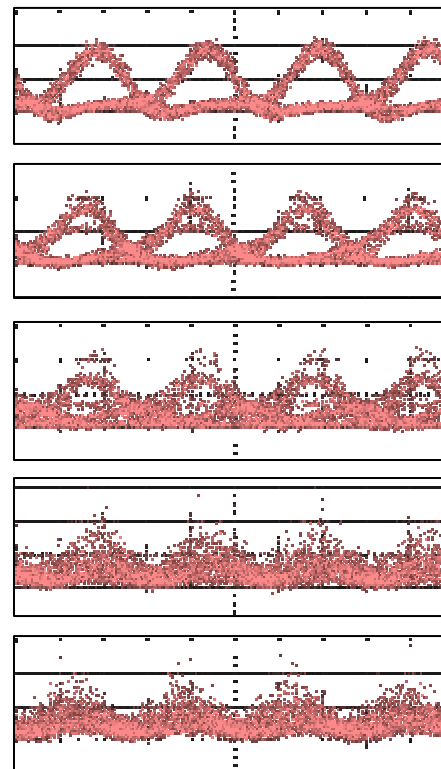
Fells, J. A. J., Proc. ECOC 2000, September 2000, PD 2.4

Measured results of twin FBG system measurements at 40Gbit/s

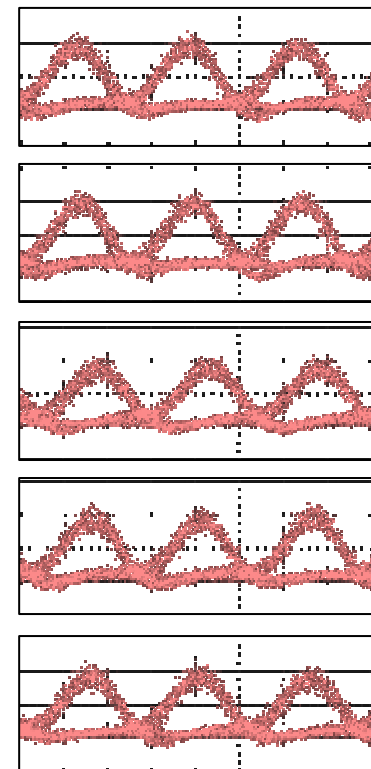
Original design



NO ADC

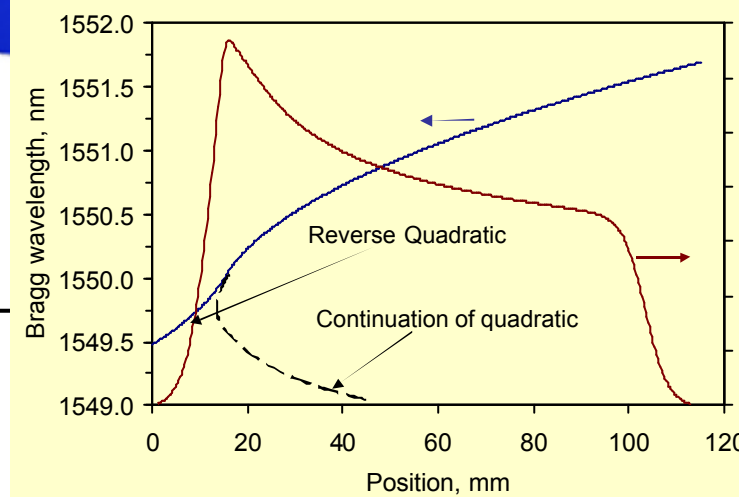
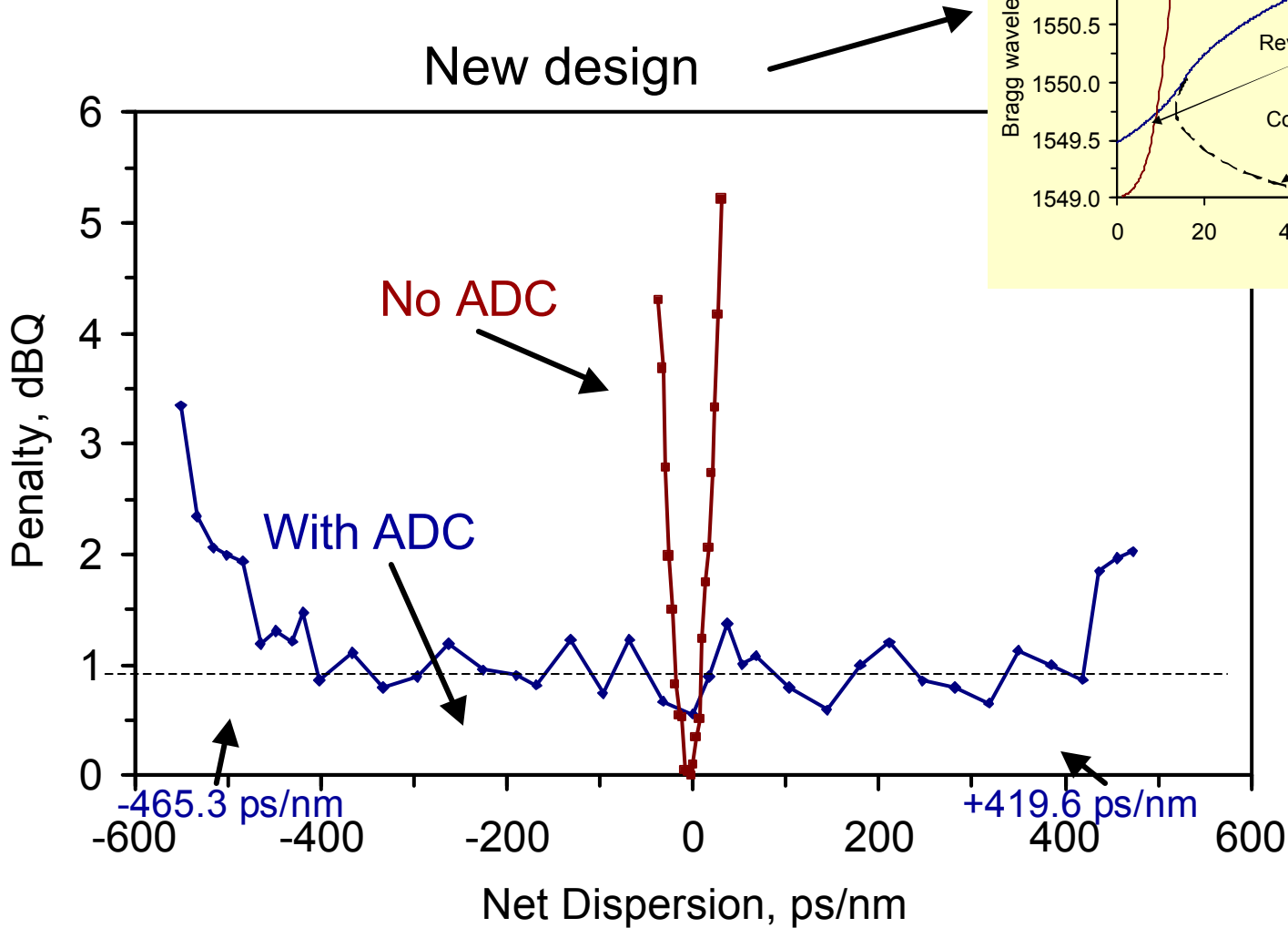


WITH ADC



Fells, J. A. J., Proc. ECOC 2000, September 2000, PD 2.4

10 Gbit/s system results



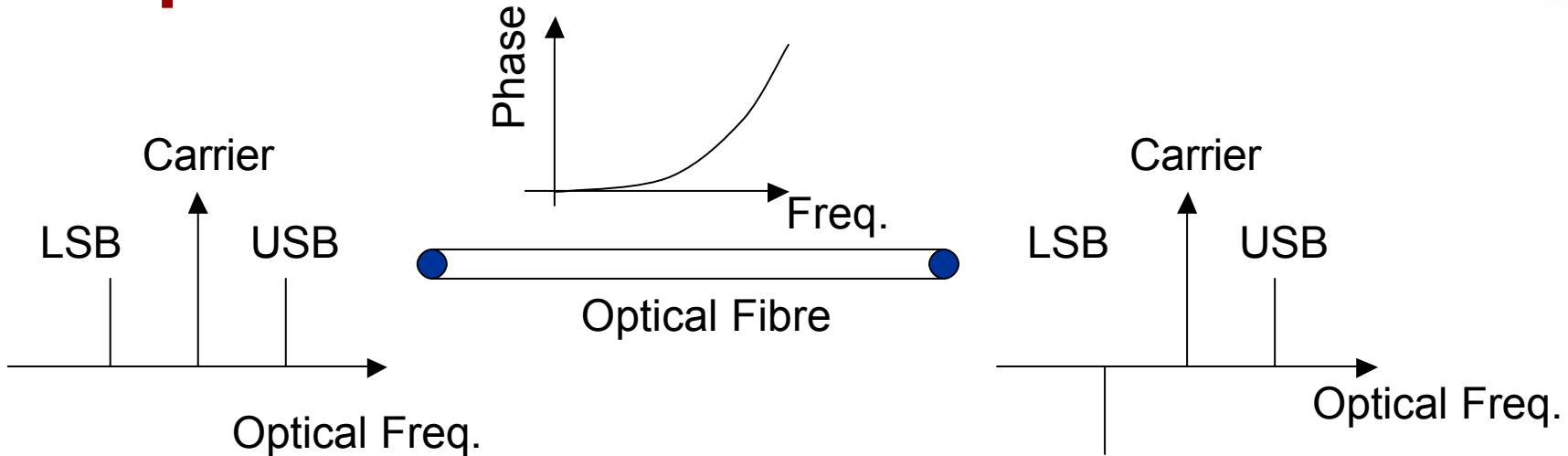
Without ADC
32.7 ps/nm window

With ADC
884.9 ps/nm window

for <1.5dBQ penalty

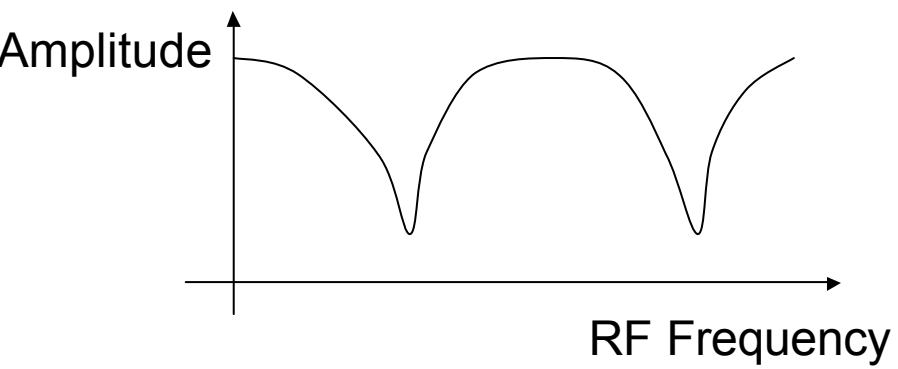
J.A.J. Fells et al. OFC'2001 Postdeadline

Signal fading CD detection techniques

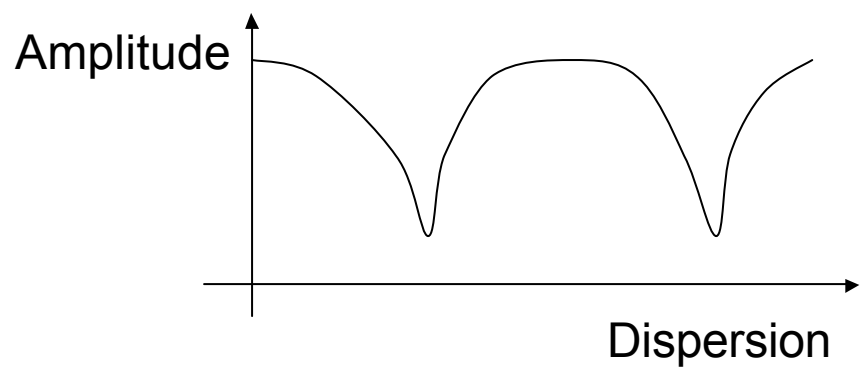


Pure sinusoidal tone

Tone is nulled



Fixed Disperison



Fixed Frequency

Adaptive dispersion control

Petersen, OFC'01 WH4 (USC-LA)

- **Add AM tone at 8 GHz to 10 Gbit/s tx signal**
 - 15 % modulation depth, 0.5 dB power penalty as a result
 - Monitor fading of AM tone, 975 ps/nm capture range
 - Manual adaptive compensation using nonlinearly chirped FBG

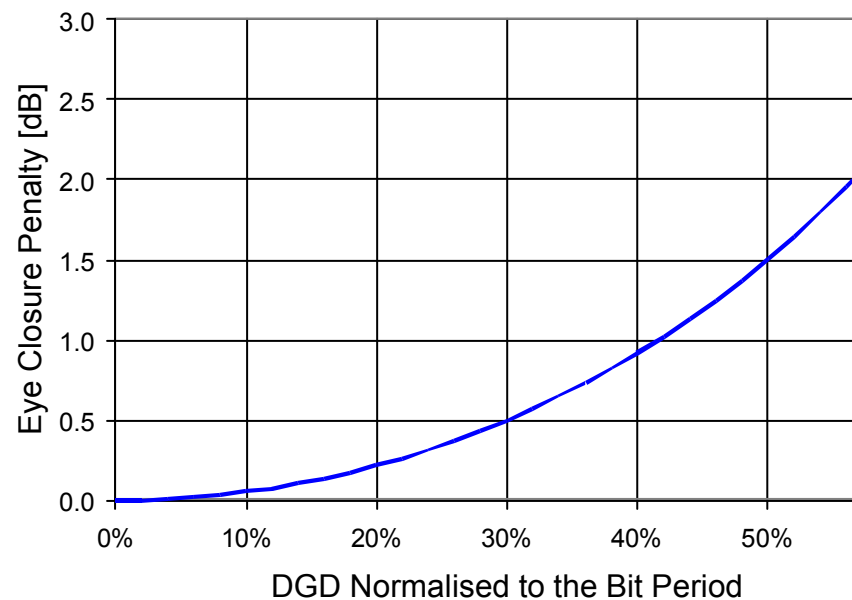
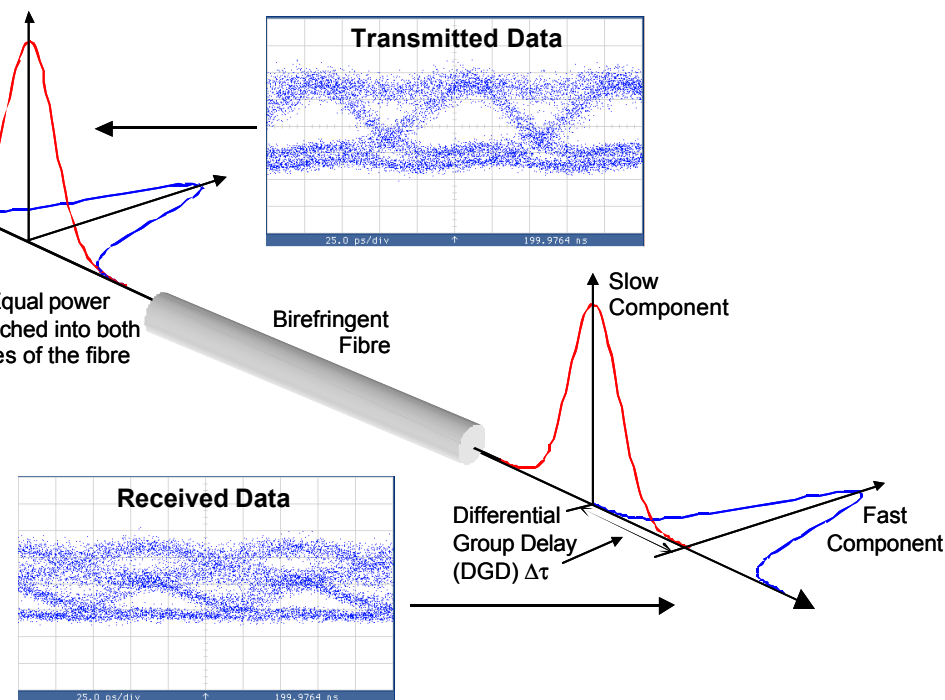
Pan, OFC'01 WH5 (USC-LA)

- **Monitor clock fading in 10 Gbit/s RZ system**
 - ± 600 ps/nm capture range at 10 Gbit/s
- **Monitor clock regeneration in 10 Gbit/s RZ system**
 - ± 640 ps/nm capture range at 10 Gbit/s
- **Only ± 60 ps/nm at 40 Gbit/s for both schemes**

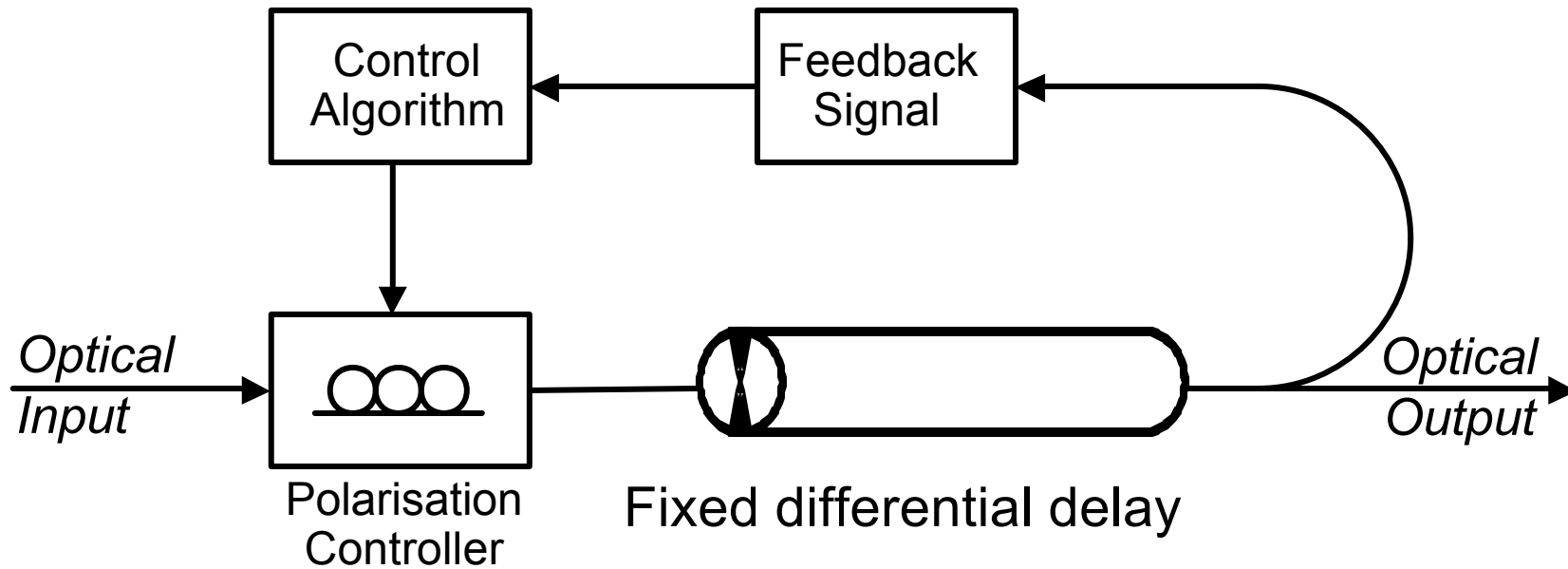
Why Adaptive PMD compensation?

Impact of PMD increases linearly with bit-rate

Instantaneous DGD of the system for a particular channel will randomly vary so an adaptive compensation is required



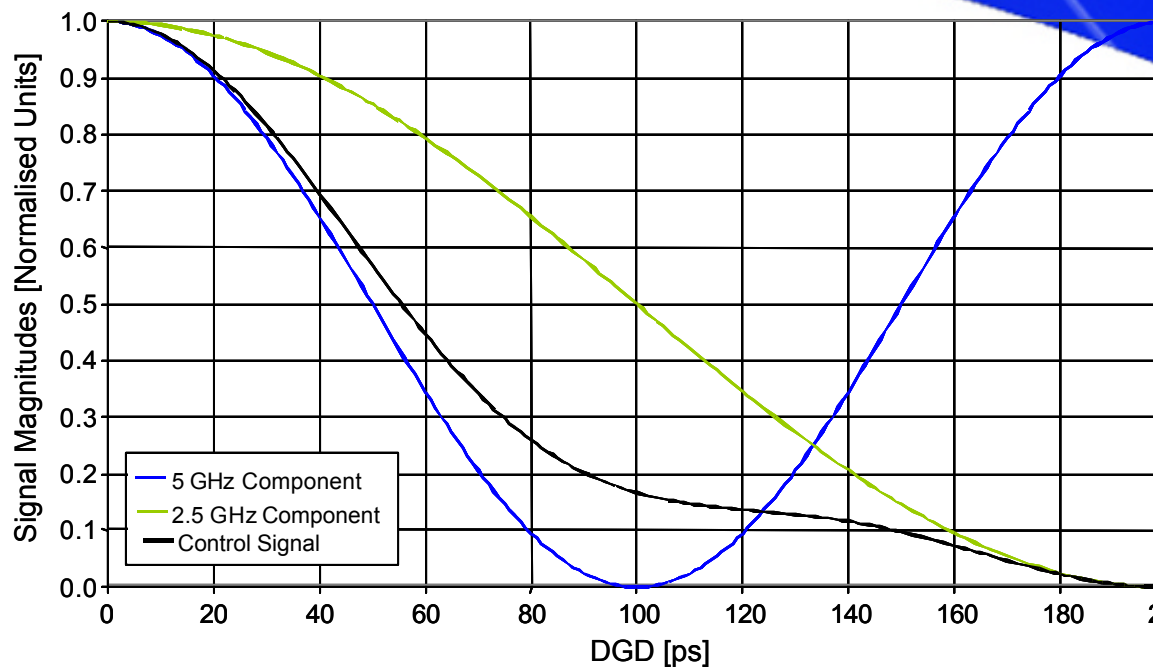
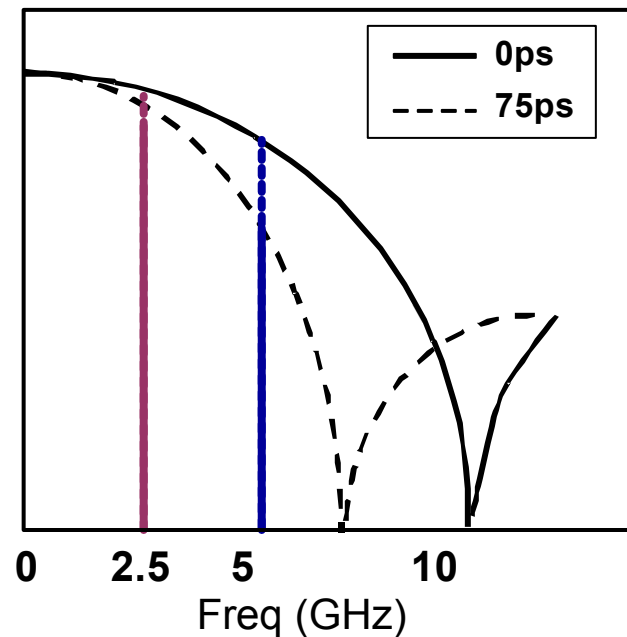
Adaptive PMD compensator



—Also possible to use variable DGD element

Control signals for 10Gbit/s NRZ

Baseband electrical spectrum



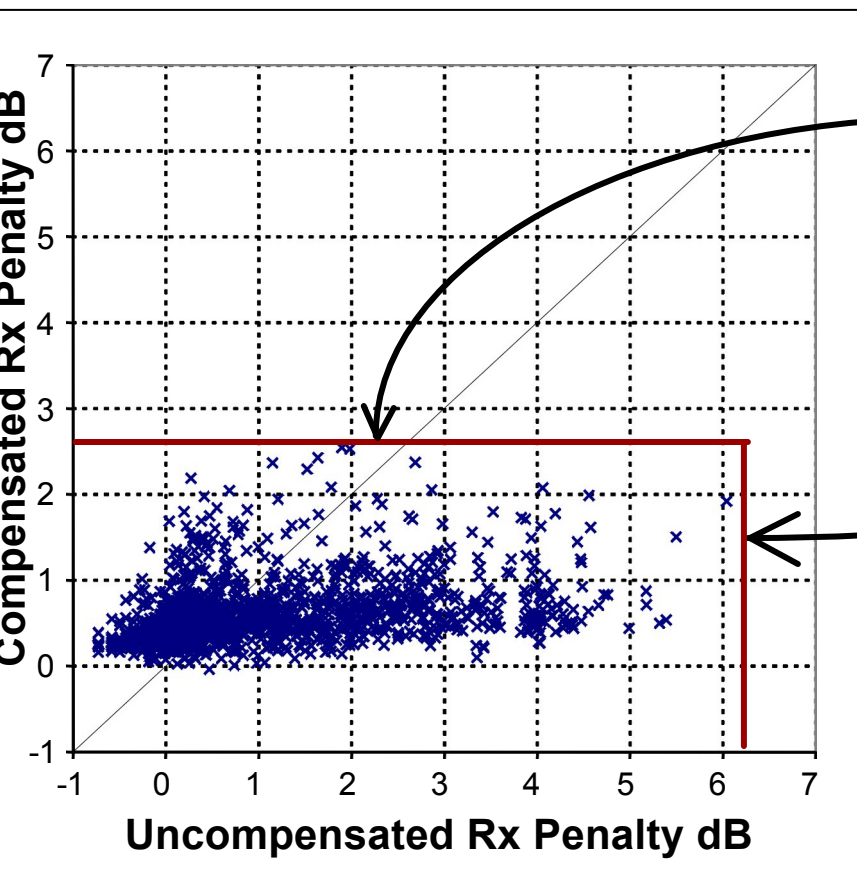
Power spectral density **→** Eye opening

- 5 GHz very sensitive
 - 2.5 GHz unambiguous up to 200 ps
- Use combination***

Similar approach can be used for any bit-rate

Measured results

Includes all orders of PMD, typical of real installed fibre with a mean PMD of 36ps



Compensated System Bounded below 2.5 dB Rx Penalty

Uncompensated System Exceeds 6dB Rx Penalty

- **125 hours of continuous tracking demonstrates the clear benefit of the PMD compensator**

conclusions

Dynamic gain flattening

- Necessary to equalise channel powers
- Sinusoidal lattice filter implementation

Adjustable dispersion compensation technologies

- Interferometer devices: Etalon, Ring Resonator, Cascaded MZ
- VIPA: Virtually imaged phase array
- FBG devices: nonlinear strain, nonlinear chirp, temp. gradient

Adaptive dispersion control schemes

- Clock fading/regen detection method

Adaptive PMD compensation

- Fixed delay architecture shown
- RF spectral analysis control scheme
- Field measurements show $>3.5\text{dB}$ penalty reduction