

# Name-Based Replication Priorities in Disaster Cases

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**Abstract**—In the immediate aftermath of a natural disaster, network infrastructure is likely to have suffered severe damages that challenge normal communications. In addition to that, traffic substantially increases as a result of people attempting to get in touch with friends, relatives or the rescue teams. To address such requirements of a challenged network, we propose a communication framework based on messages that exploits *name-based replication of content and enables ad-hoc communications with spatial and temporal scoping and prioritisation of named messages*. We evaluate our design against less sophisticated replication strategies and show that important messages (*e.g.*, from first responders) get disseminated to more nodes than less important messages.

## I. INTRODUCTION

The most common effect of natural disasters on communication networks has been reported to be network fragmentation due to, for example, base-station failure, cut-off links, flooding or power outages [1]. Still, however, the communication network is the main system on which people rely in order to seek for help, distribute important information, manage rescue teams, or get in touch with friends and family. This inevitably increases the traffic demand on the mobile part of the network [2]. Recent reports from the Great East Japan Earthquake on the 11<sup>th</sup> of March 2011 have shown that people relied mainly on their mobile handhelds in order to communicate over the Internet with the outside world [3]. Traffic demand increased 9-fold, while at times, up to 64% of base-stations were out of order, resulting in roughly 90% of the calls being dropped [1], [2]. In this paper, we focus on the operation of mobile devices in case of disaster situations, that is, when networks get massively fragmented with parallel increase in traffic demand. We argue that in case of disasters *ad hoc* communication between mobile devices becomes a necessity, while efficient use of network and device resources is of crucial importance to foster communication between citizens and rescue teams.

We begin by identifying the special characteristics, as well as the requirements, of a disaster situation. We argue that in case of disasters information has to: *i) spread locally and for a limited amount of time*, and *ii) reach multiple destinations*. For example, information regarding trapped or wounded people has to reach any member that belongs to the rescue or first responders teams. No single person is responsible for rescuing

people in need, since operations have to be managed by *several teams in specific areas* and in a *distributed manner*. Furthermore, important information from the first responders (*e.g.*, from fire brigade regarding shelter or food supplies) has to reach all interested parties within a specific area where help is (or will be) available.

Clearly, spreading information in disaster cases involves *space and time* factors that have to be taken into account as messages spread [4]. The standard mobile communications system does not support multi-user message dissemination, let alone the fact that much of its infrastructure might be out of action after a disaster (*e.g.*, base-station failure) and therefore, cannot be depended upon.

Infrastructureless communications have been studied heavily in the context of Delay-/Disruption-Tolerant Networking (DTN) [5], [6]. Several approaches from mules to robots, as well as specific message dissemination protocols [5] have been proposed to achieve message delivery in ad hoc networks. The vast majority of those solutions, however, target point-to-point message delivery, that is, delivery from a specific source to a specific destination. Furthermore, the operation of DTNs over the IP-based infrastructure of the Internet has led to much debate and finally design of a new architecture realised in the Bundle Protocol.

From the early days of its existence, the Information-Centric Networking (ICN) paradigm has made it clear that a *name-based communication model* can deal with infrastructureless networks more efficiently than the current, point-to-point, IP-based network [7]. More recent studies on the interface between ICN and DTN (*e.g.*, [8], [9]) have shown that name-based mobility is superior to IP-based mobile communications.

Based on the needs and requirements of disaster communications, in this paper, we propose NREP, a mobile *name-based replication* system [10], where message replication is limited by time and space, that is, within a certain geographic area and with specific life expectancy [4]. Last but not least, replication is optimised by prioritisation rules, *integrated within the information message's name* to favour spreading of the most important messages [6]. For example, we consider messages from first responders as more important than messages between friends. We focus on cases where the mobile network infrastructure is not available and therefore messages have to

be *stored, carried and forwarded* by mobile devices. For the purpose of the present study we focus on information that has to be delivered to many recipients and ignore point-to-point applications, such as email.

Our findings show that prioritisation indeed achieves huge savings in terms of the dissemination of less important messages, in favour of dissemination of the most important ones, according to our prioritisation classes. By throttling the spread of less important messages, our proposed NREP scheme spreads important messages to nearly 100% of the population in all studied cases.

## II. A NAME-BASED COMMUNICATION FRAMEWORK TO MEET THE CHALLENGES OF DISASTER SITUATIONS

### A. Motivation

Current IP-based DTN networks focus on the destination of the content, *i.e.*, where the content should go. This reduces the flexibility of the network to make content-centric decisions such as if the data is worth transferring/replicating in the network. ICN, with its focus on content-centricity-based forwarding allows for nodes (*i.e.*, routers in case of the fixed Internet infrastructure) to make decisions based on the name of the content. Since nodes are aware of the content's or message's name, they can retrieve the data from their own cache or forward it towards one of the sources of the data. With a few exceptions ([11], [12], [8]), most of the on-going research [13], [14], [15] addresses non-DTN scenarios.

In this work, we attempt to leverage the benefits of ICN in the aftermaths of a disaster, where *ad hoc* DTN communication becomes essential in order to deal with fragmented networks and the increase in traffic demand. We argue for the need of a name-based forwarding/replication scheme, wherein intermediate nodes use a *Name* associated with each message to make decisions such as whether to replicate and if so, according to what priority, or otherwise, store(-and-carry) and for how long storage should be allocated. Moreover, we discuss the need to expose other parameters such as priority, time-to-live and geographical constraints *in the name* or as *attributes of the name*. This is done in order to help increase the efficiency of intermediate nodes to make decisions on storage and replication.

In the following we proceed to explain the design of our *name-based replication* framework, NREP.

### B. Naming design

NREP borrows from ICN [7], [15] principles of using content names as the primary means for routing. However, unlike conventional ICN that is primarily designed to support *name-based routing* in an infrastructure-based environment, NREP is designed to operate in an infrastructureless environment and focuses on *name-based replication*, rather than routing. The design challenges of NREP are: (i) to identify what are the parameters that help differentiate between the various messages; (ii) to choose which of the parameters that influence message replication to include in the *name* and which

to include as attributes; and (iii) to identify and understand the resulting trade-offs.

1) *Parameters used for differentiation*: Similar to the role played by a *name* in ICN, the *name* in NREP is also responsible for identifying the different types of content. Therefore, we recommend the use of a hierarchical namespace (as suggested in [7] and [15]) instead of a flat namespace, to allow nodes to filter content based on important parameters present in the longest prefix match. The hierarchical namespace should have a globally understood prioritisation value. For instance, the hierarchical name-prefix could look like: *Emergency/SOS* or *Emergency/Fire* where the former could be considered to have higher global priority than the latter.

Other parameters such as *user-defined-priority*, *space* [16] (the geographical reach within which the data is considered valid), *temporal-validity* [16] (lifetime of the content), *size* are also considered to be important input for filtering or prioritisation within each group identified by the name-prefix. For instance, specification that the content is only valid in *district/city/country* and/or is only valid till *temporal-validity* could be used to further prioritise among content that has the same name-prefix *Emergency/SOS*.

2) *Where to place these parameters?*: Though the namespace could be extended to have the parameters mentioned above, *e.g.*, `<user-defined-priority>/<temporal-validity>/<space>/<size>/..`, we believe that there is a need to keep these values as *attributes* instead of accommodating them in the *name*. These *attributes* could be set by the sender, the receiver or any authorised intermediate node to express additional information related to the content. Therefore, the *name* would only have prefixes that are globally known and based on which, nodes perform efficient longest prefix matches, whereas the *attributes* are those set by the sender/receiver and could be used for further filtering.

3) *What are the trade-offs?*: Advantages of such a distinction between placing some parameters as *attributes* instead of including them in the *name* are: (i) the namespace or prefix cannot be manipulated by individual senders/receivers; (ii) nodes with limited capacity can perform name-based filtering and forwarding whereas nodes with more resources (*e.g.*, base-stations or nodes provisioned and managed by first responders [17]) could in addition perform filtering by attributes; (iii) the senders/receivers could additionally assign their own desired priority for the content.

4) *Advantage of the overall design choice*: The combination of a globally understood *name*-based priority and the *attributes* such as *user-defined-priority*, *space*, *temporal-validity* helps optimise the decision making process for forwarding/replication of data based on the availability of buffer space, energy levels and duration of the interaction. This way, nodes have the opportunity to choose whether or not to send/receive content that satisfies a certain prefix. For instance, a node could decide to send/receive content whose name has a higher priority associated with

it, and additionally if it contains specific attributes, such as `user-defined-priority/time-to-die/` where `user-defined-priority=high` and `time-to-die = "30th December 2013, 18:00 CET"`. If there is enough memory space for all `priority=high` data, then content selection could be performed with the following prefix: `user-defined-priority=high`.

Another advantage of the proposed scheme is that there is no need to exchange statistics/digests on every interaction between intermediate nodes that function as mules. Current DTN designs [5], [6] require that the mules look into the meta-data of the content, accumulate statistics and exchange this data when they come in contact with other mules. This is a cumbersome process, especially if the frequency of meeting other mules and the amount of data present in the network as a whole is high and dynamic. In contrast, according to NREP, we make a clear distinction between what is expressed in the *name* and what is expressed as *attributes*. In turn, mules with lower capability (either in terms of energy or memory space) can perform filtering and exchange data based only on the *name* associated with the message. For instance, two mules on meeting each other could initiate a transaction with a quick handshake until the receiving node's storage capacity is fully utilised or the energy restriction of the sender/receiver comes into effect. However, if one of these mules has higher capability, it can perform an additional filtering/sorting based on the *attributes*. For instance, one could start sending high-priority data, *i.e.*, data with prefix `priority=high`. Then, if space and/or energy permits [6], the nodes can start the transfer of data with prefix `priority=medium`.

### C. Priorities and namespaces

As discussed earlier, the need for prioritisation in order to make efficient use of network resources and ensure that safety-critical messages get preferential access to network resources is of paramount importance in the aftermath of disasters. Safety-critical messages must be given higher priority over other low-priority traffic when they compete for the same network resources.

According to our initial design, the `name-prefix` is associated with a globally recognisable `priority` factor. For example, as shown in Table I, the NREP application is globally preset with the knowledge that the `SOS` name-prefix has higher priority than the `chat` name-prefix. Additionally, one could also envision an application where the client decides the priority of the message and assigns a `priority` value accordingly (*e.g.*, `user-defined-priority=High`). We could also envision a network where dedicated nodes look through the *attributes* and/or the content and set the `user-defined-priority` appropriately. The `temporal-validity` value can be represented as a `time-to-die` in absolute `unix-time`, *e.g.*, `1387414134` which implies that the content is valid till `2013-12-19T00:48:54`. Similarly, the `space` value, *i.e.*, the area within which the data is valid can be represented by the following format `<type=circle;pos=x,y;`

`radius=r>`, or `<type=rectangle, leftpos=x,y; height=h, breadth=b>`. Alternatively, the `space` value can be represented in the global map format, *e.g.*, `country/state/city/<postal-code>`. Below is an example list of priorities together with their characteristics in terms of space and time limitations.

1) *High priority messages*: Messages calling for help could use the name-prefix `SOS` (see Table I). Such messages have to spread quickly and should live long enough until help is received. In order to minimise misuse (selfish behaviour), messages sent with this name-prefix should be smaller in size and the `time-to-live` should not be very long. Otherwise, it will be difficult to stop the message from spreading even after help has been received. Moreover, a long expiry time could imply that too many people end up responding to it, thereby overutilising scarce resources that could be used somewhere else. If no response is received within the stipulated time, the client can increase the `time-to-live` and send the message again. A challenge associated with this name-prefix is to find a means to stop the dissemination, once a particular team has responded to it to avoid multiple teams responding to a single `SOS` call. To deal with this challenge, one could apply TTR-like techniques [18] between the members of the rescue teams, in order to better organise and manage operations.

Furthermore, messages from central state entities with instructions from first-responders (fire brigade, ambulance) to citizens need to spread to everyone and should not expire. Here, only the application residing on a limited number of authorised devices is allowed to send data with a suitable name-prefix such as `Government`, `Police` (see Table I). Messages notifying the arrival of rescue teams in an area at some fixed point in time to distribute first aid kits, water, food, etc. can be high priority too. People in the area should be informed and the message should be deleted after the rescue team has arrived.

2) *Medium priority messages*: Messages from individuals announcing the availability of food, water, etc. in a certain area should spread locally and be deleted after a period of time, as the resource will have been consumed. Similarly, messages on availability of shelter, electricity or communication capability available in an area should spread within that area, and need not expire since the shelter will be present for a long time. Such messages will have to be deleted only if conditions change, *e.g.*, shelter is full.

3) *Low priority messages*: Messages sent by individuals trying to get in touch with people in the area to get together and help each other are assigned lower priority. Such messages spread locally and normally can be deleted after delivery. These messages use the `Chat` name-prefix as shown in Table I and therefore receive lower priority compared to more important messages.

### D. Operation

Each message is labelled with at least three attributes:

- A priority level (explained in detail in Section II-C)

Name-prefix	Global-priority	Time-to-live	Space	Size	Sender Authorization	Recipient	Notes
SOS	High	Short	Closeby	Very-small	All	First responders	To use to ask for help
Government	High	Indefinite	All	Small	Officials	All	To inform all of food-shelter, danger
First-Responders	High	Indefinite	Depends	Small	First-Responders	All	To inform all of rescue-teams arrival
Warning	Medium	Indefinite	All	Very-small	All	First Responders	FR verify and publish to all
Police	High	Depends	Depends	small	Police	Police members	To chat among themselves
safe	Medium	Short	All	Small	All	Public/Family	To inform others that they are safe
chat	Low	Low	All	Small	All	Public	To chat among each other

TABLE I: Examples of name-prefix prioritisation and corresponding values for *temporal-validity*, *space* and *size*

- A spatial scope, *i.e.*, the geographical area outside which the message is no longer important.
- A temporal validity, *i.e.*, a timer at whose expiry the content of the message is no longer useful.

Each device stores received messages in its internal memory and keeps them as long as their timer expires and the device remains within the boundaries of their spatial scope.

Each time two or more devices are close to each other, they start exchanging the messages they are currently storing. Each device assigns a weight  $w$  to all the messages it holds and forwards them in decreasing order of  $w$ . This weight is calculated as a function of the distance from the origin of the message, the residual time validity and its priority.

$$w = \alpha f_d(d) + \beta f_t(t) + \gamma p \quad (1)$$

where  $f_d : \mathbb{R}^+ \rightarrow [0,1]$  is a monotonically decreasing function of the distance from the origin of the message,  $f_t : \mathbb{R}^+ \rightarrow [0,1]$  is a monotonically decreasing function of the time elapsed since the message creation and  $p \in [0,1]$  is a value expressing the priority of the message and  $\alpha, \beta, \gamma \in [0,1]$  with  $\alpha + \beta + \gamma = 1$ .

A key challenge in priority-based replication is to decide whether to drop or assign a high-priority to a message that has already consumed a lot of resources and is therefore close to expiring or close to reaching the destination. This would be in contrast to a message that was just created and therefore has a high temporal validity and/or reach. Based on this decision  $f_d$  and  $f_t$  in Eq. 1 are either monotonically increasing or decreasing. Although we evaluate similar concepts in the next section, we leave a more elaborate investigation of this issue for future work.

Each mobile device may also decide whether or not to forward messages on the basis of its residual battery life. In fact, if battery life is scarce, a device may decide to only forward most important messages or no messages at all.

### III. PERFORMANCE EVALUATION

#### A. Evaluation Setup

We evaluate the proposed framework in the ONE simulator [19]. We target scenarios where the memory capacity of mobile devices is limited in order for the name-based transfer prioritisation and replication to come into effect.

We loosely define six different example message classes, carry out extensive performance evaluations and present here

two distinct scenarios. In our first scenario, we use baseline settings in order to highlight the importance of prioritisation (*i.e.*, time and space limits are the same for all nodes and all algorithms). In our second, more realistic disaster scenario, we apply different characteristics to different messages according to the message class they belong to. That is, for example, high-priority messages live longer, while low priority messages live for a short time period after their creation.

We use two representative performance metrics, namely, the fraction of messages that keep spreading until their expiry and the average number of replications per message per class. The second metric indirectly reflects the average number of nodes that receive each message per each of the priority classes.

#### B. Scenario 1

In this scenario, we evaluate the baseline performance of different replication approaches, that is, without time and space limits. Instead, we vary the buffer size available to the mobile nodes, in order to see the effect of memory capacity. We present the fraction of messages that spread until their “*time of expiry*” for two (out of six) message classes.

We experiment in a 16 km<sup>2</sup> area where a total of 480 mobile nodes exchange messages. Some of the nodes are static, while others are moving in the area. We use the default settings of the ONE simulator, where the area is the Helsinki city centre, the transmission range of nodes is 10m and the transmission speed is 250kbps.

The results are shown in Figs. 1a and 1b. Name-based replication (NREP) achieves considerably higher performance for high-priority messages (Fig. 1a), while its performance drops for low-priority messages (Fig. 1b). Instead, FIFO and RND appear to have similar performance in all cases. This is more clearly shown in Fig. 1e, where we present the number of replications per message per class for 5MB buffers. There, we see that NREP transmits more messages of higher priorities, while it leaves less space for messages of lower classes. This is inline with our design principles, according to which, in emergency situations, higher priority messages should be replicated at the cost of discarding some low priority ones.

It is worth noting that in Fig. 1e the replications for high-priority messages are higher than the number of nodes. This is due to the fact that some messages get replicated twice during their lifetime, once upon the initial encounter and once more, when, after a while, the message has been discarded from the memory and other nodes in the vicinity still hold the

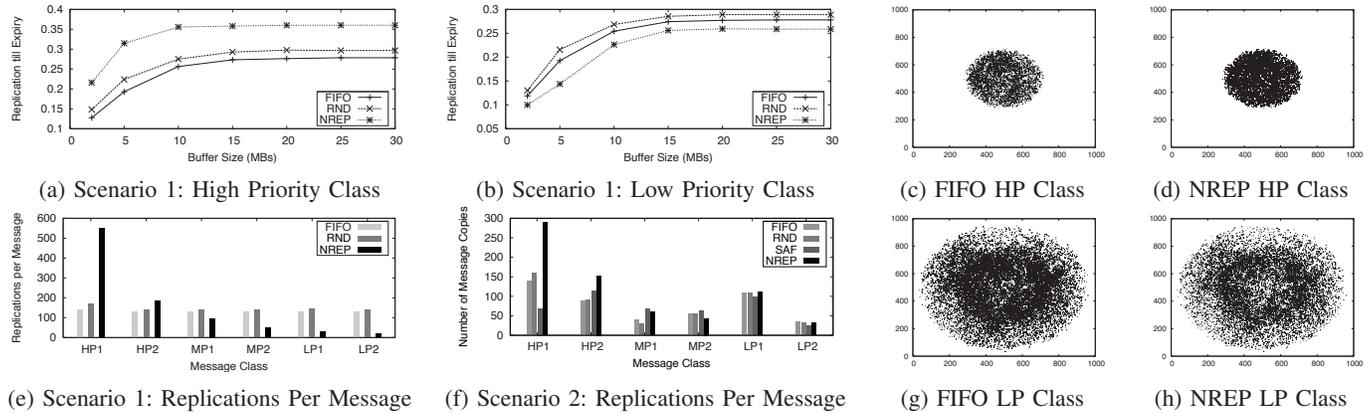


Fig. 1: Results for Scenario 1 and 2

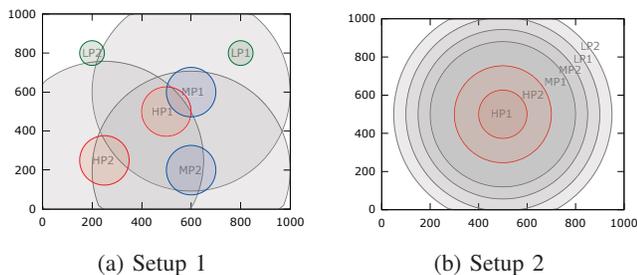


Fig. 2: Generation and Replication Areas of Message Classes

message. Whether this is a desirable behaviour or not depends on the specific application in question, which we leave for future work.

### C. Scenario 2

In our second scenario, we simulate a realistic setup, where different message classes have different expiry times, generation intervals, co-ordinates and replication areas (see Table II and Fig 2a). In Fig. 1f, we present the number of nodes each message has reached per message class. Class-agnostic replication, as realised by FIFO and RND policies cannot provide preferential treatment to different messages, resulting therefore, in inferior performance. Instead, NREP reaches up to 99% of nodes for the highest priority messages (HP1). NREP is also outperforming the rest of the replication policies for HP2 messages, while it has roughly the same spread as the rest of the policies for the rest of the message classes. Note that the sum of messages per replication policy is not the same for all policies, as messages of different classes do not get generated with the same frequency and do not live for the same amount of time. Furthermore, messages do not stay in the node’s memory for ever, but may reach the node (*i.e.*, inform the user) and get deleted after a while to make space for other messages - NREP does not modify the deletion policy, but only the replication one. That said, 100 messages of class  $x_1$  might account for 70 messages of class  $x_2$ .

We also evaluate the performance of the “Smaller Area First” (SAF) replication policy. We see that SAF, as expected,

does not perform well for messages with high space limits, *e.g.*, HP1 and LP. It is, however, more efficient than the rest of the replication policies in case of strict (and small) space limits. Further experimentation is needed to decide whether smaller or bigger areas should have higher priorities. As discussed in Section II-D this is a resource consumption issue, where one might argue that messages which have to travel further need to be prioritised over messages that have to cover smaller distances. In other words, prioritising smaller areas might starve messages that need to reach further out.

As a final evaluation step, we consider that messages of all classes are generated in the middle of the area and also that the lower the priority of a message the further it spreads in the area (see Fig. 2b). With this experiment we intent to show how messages get replicated in space, according to their priority. We see in Figs 1c and 1d that NREP HP messages are more forcefully replicated than FIFO ones. This results in less replications for LP messages of NREP, compared to the denser replication of LP FIFO messages (see Figs 1g and 1h).

Overall, we argue that smarter replication priorities result in larger numbers of users being informed about the state of emergency. More sophisticated algorithms can surely be designed, but this study is a first step to unveil the potential of a *name-based replication* scheme with class prioritisation.

MSG Class	TTL (min)	GEN (min)	$x, y$	REP Area ( $r$ )
HP1	115	50	500, 500	500 meters
HP2	85	50	250, 250	300 meters
MP1	65	35	600, 600	300 meters
MP2	50	35	600, 200	300 meters
LP1	35	15	800, 800	800 meters
LP2	15	15	200, 800	800 meters

TABLE II: Message Class Values for Scenario 2

## IV. RELATED WORK

Disaster communications have recently concerned the research community which has worked towards improving the resiliency of communication networks during disasters. Studies in this area include the design of “Movable and Deployable Resource Units” [17], where the authors study how mobile communication units, *e.g.*, base stations can be deployed on the

fly to replace damaged equipment. In [2], the authors design an information dissemination Social Networking Service (SNS), which is resilient to disasters and can improve performance in case of network disruption and fragmentation.

The authors in [18] design the “*Time To Return*” (TTR) routing protocol, which is used among the devices of first responders in order to carry information back to the headquarters as quickly as possible and without extensive replication, thus avoiding excessive resource and energy consumption. Although the works in [2] and [18] focus on issues related to our design space and target not only communication in case of disasters, but also energy efficient message dissemination, they do not cover applications where information has to be disseminated to multiple users. We argue that although such protocols and infrastructures are essential in case of disasters, a multi-recipient dissemination system is necessary in order to send and gather information from heavily affected areas.

In this respect, closer to our work is [10], where the authors build a Twitter application for the Android platform, which is also enhanced with a “*disaster mode*” operation. In disaster mode, the application is operating in an ad hoc manner and exchanges information with other nodes in the vicinity. The authors focus on the security considerations of such an infrastructure to enable authentication and prevent spam. It is important to stress that in case of a disaster and when infrastructure is not available in order to communicate with friends and family far from the disaster area, it is still important to make local communication available. People trapped in the same area will be much relieved to know that other people are near them and can help or provide resources and first aid. In our proposed name-based replication framework such communication is supported, while the framework is also able to distinguish between types of messages.

Last but not least, the concept of *Floating Content* has been recently proposed in [4] and [20] as a means for local message dissemination, in an infrastructureless manner to enable digital graffiti and social network applications between mobile devices without the need of a central server or Internet connectivity. The authors in [4] and [20] introduce the concept of message dissemination with time and space limitations, which is also central to our philosophy here.

The authors extend the concept of floating content in name-based communication environments in [20], but they do not consider prioritisation of message transfers. Therefore, when nodes meet they exchange messages of interest either in a FIFO or random manner, or based on the *anchor zone* where the message is being made available. As we have showed, this lack of message transfer prioritisation makes the work presented in [4] [20] unsuitable for disaster situations, where the delivery delay might be of vital importance.

## V. SUMMARY AND CONCLUSIONS

We have proposed a *name-based prioritisation and replication scheme* for messages in fragmented networks during disasters. Our scheme borrows ideas from the Floating Content [20] concept as well as from offline pub/sub systems that work

in infrastructureless environments (*e.g.*, Twimight [10]), but enhances them in order to work in a name-based, Information-Centric environment, which provides benefits over IP-based, host-centric networks.

Our results show that indeed higher priority messages get disseminated to more nodes in the network, which might be of vital importance in case of disaster/emergency. Our proposed scheme does not take into account the energy of devices, which might be a scarce resource. Therefore, our immediate next step is to integrate energy considerations in the replication of messages and avoid transferring messages in excess when devices start running out of battery.

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